

Synthesis and thermal properties of azo-peroxyesters

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Received: 27 May 2008 / Accepted: 9 June 2008 / Published online: 20 September 2008
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Abstract New azo-perester derivatives of *tert*-butyl and *tert*-amyl hydroperoxides were obtained in reactions of azo acid chlorides with hydroperoxides in the presence of an inorganic base. Obtained azo-peresters possess two kinds of the labile functional groups: the azo group and also the perester group. The data from DSC experiments indicate that in the case of azo-perester derivatives of *tert*-amyl hydroperoxide, the perester group decomposes at a somewhat lower temperature than in the case of *tert*-butyl derivatives, whereas azo groups decompose at somewhat higher temperature in the case of derivatives with *tert*-amyl substituent.

Keywords Diazo compounds · Calorimetry · Bifunctional initiators · NMR spectroscopy · Thermal decomposition

Introduction

Since 1909 when *Fritz Hofmann* from the firm Bayer got his first patent concerning the radical polymerization of isoprene, processes of radical polymerization and copolymerization have played an important role in modern

chemistry. The fact that the process of radical polymerization is still of interest may be proved by the considerable number of publications and patents dealing with this range of knowledge issued in recent years. Radical polymerizations are constantly under development thanks to the proper choice of conditions of running these processes and also to the modification of the applied additives, and development of novel initiators, including multifunctional ones. Interesting results have been achieved due to the application of the so-called bi- and polyfunctional initiators [1–5].

In comparison with monofunctional initiators, bifunctional initiators display a number of advantages, namely that with the increasing concentration of the initiator, polymer with a higher molar mass is formed, which also displays a higher rate of initiation [6] if compared with the traditional applied initiators.

As far as their structure is concerned, bi- and polyfunctional initiators are characterized by the fact that they contain at least two or even more labile links, identical or various ones. Therefore, these initiators may be generally divided into bi- and polyperoxides, bi- and polyazo compounds, and azo-peroxides.

Chemical compounds can play the role of a bi- or polyfunctional initiator when the active groups contained in the molecule display a differing thermal or photochemical stability and are connected with another carbon atom in the molecule.

Azo-peroxy compounds may be generally divided into three main groups: azo-peresters, azo-diacyl peroxides, and azo-dialkyl peroxides.

Generally, compounds of this type are most often obtained by means of two different methods [1–4, 7–11]: (1) by joining aliphatic azo compounds with peroxy compounds applying such reactions as: esterification, etherification,

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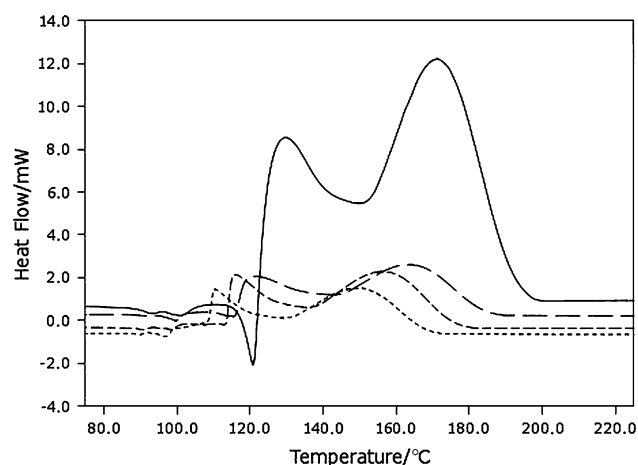


Fig. 1 DSC curves of the samples di-*tert*-butyl-4,4'-azobis-(4-cyanoperoxy-pentanoate) (**4a**) at different heating rates: solid line 20°/min; dotted line 10°/min; dotted dashed line 5°/min; dashed line 2.5°/min

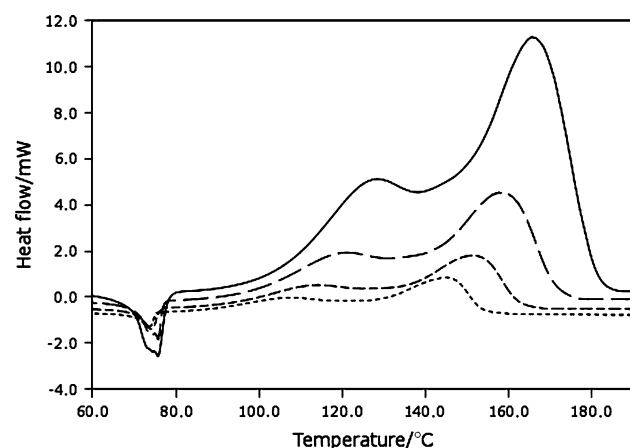


Fig. 2 DSC curves of the samples di-*tert*-amyl-4,4'-azobis-(4-cyanoperoxy-pentanoate) (**5a**) at different heating rates: solid line 20°/min; dotted line 10°/min; dotted dashed line 5°/min; dashed line 2.5°/min

(**2a**) and α,α' -azobis-(1-cyanocyclohexane) (*ACHN*). The results of these experiments are presented in Table 1.

The obtained data indicate that the addition of cobalt salt does not influence the decomposition of the azo groups in the investigated compounds; the maximum temperature of decomposition as well as the shape of the decomposition peaks do not change in the case of an azo compounds, nor in the case of azo-peresters.

If cobalt salt admixed, however, the temperature range of decomposition of the perester group changes in azo-peresters. These values are shifted towards lower temperature values by about 25 °C, in results of which the peak of the exothermal decomposition of the azo group merges with the peak displaying the decomposition of the perester functional group.

The progress of the decomposition of *tert*-butyl and *tert*-amyl derivatives is analogous within their homological series. The data concerning the maximum temperature

Table 1 Temperatures at the maximum of the exotherms (T_p) of azo-peresters and azo compounds with 1% mass amount of cobalt stearate (constant heating rate 10 °C min⁻¹)

Compound	Temperature/°C			
	With addition of salt		Without addition of salt	
	-N=N-	-O-O-	-N=N-	-O-O-
2a	131.7	—	133.8	—
<i>ACHN</i>	144.1	—	145.1	—
4a	120.8 ^a	129.2	118.7	163.8
5b	120.4 ^a	132.2	122.7	156.4

^a Peak present at DSC grams under main (second) peak

Table 2 Temperatures at the maximum of the exotherms (T_p) of azo-peresters under various heating rates

	Temperature/°C					
	4a		4b		4c	
	-N=N-	-O-O-	-N=N-	-O-O-	-N=N-	-O-O-
β /°C min ⁻¹						
1	103.3	142.6	101.0	138.2	104.8	138.0
2.5	108.2	151.0	107.1	147.2	108.6	146.6
5	113.0	157.2	112.7	153.1	114.5	153.2
10	118.7	163.8	120.0	159.8	121.0	159.9
20	126.2	170.9	126.1	165.9	127.5	164.9

	Temperature/°C					
	5a		5b		5c	
	-N=N-	-O-O-	-N=N-	-O-O-	-N=N-	-O-O-
β /°C min ⁻¹						
1	99.8	136.2	94.6	128.7	100.9	134.5
2.5	107.4	144.7	109.3	143.1	108.8	143.0
5	114.1	151.5	114.9	149.1	116.1	149.6
10	120.2	158.7	122.7	156.4	122.7	156.8
20	127.7	165.5	129.2	163.0	129.8	163.4

values of the peaks displaying the exothermal decomposition of the remaining azo-peresters are gathered in Table 2.

The data presented in Table 2 indicate that in the case of azo-peresters derivatives of *tert*-amyl hydroperoxide, the perester group decomposes at a somewhat lower temperature than in the case of *tert*-butyl derivatives, whereas azo groups decompose at somewhat higher temperature in the case of derivatives with *tert*-amyl substituent.

Experiment

Tert-butyl hydroperoxide (Merck) was extracted with hexane, *tert*-amyl hydroperoxide (Pergan), and PCl_5 (Merck).

Methylene chloride and benzene were dried over molecular sieves: hydrazine sulphate, sodium cyanide, and 4,4'-azobis-(4-cyanopentanoic) acid (**2a**) (Fluka).

4,4'-Azobis-(4-cyanopentanoic) acid chloride (**3a**) was prepared by Hazer [5] procedure. 5-Oxohexanoic acid (**1b**) was synthesized as described by Bates [17], and 6-oxoheptanoic acid (**1c**) was synthesized as described by Schaeffer [18].

^1H and ^{13}C NMR spectra were recorded in CDCl_3 on a Varian Unity Inova-300 spectrometer using TMS as an internal standard. IR spectra were recorded on a Zeiss Specord M 80 spectrometer. The results of elemental analyses agreed favorably with the calculated values.

The thermal characteristics of the investigated materials were obtained using the DSC 2010 TA instrument at heating rates of: 1, 2.5, 5, 10, and $20\text{ }^\circ\text{C min}^{-1}$ within the temperature range from -80 to $+200\text{ }^\circ\text{C}$. The temperature was calibrated with indium and gallium standards over the whole explored temperature range.

Preparation of carboxylic acids with azo function; general procedure

To a slurry of hydrazine sulphate (0.12 mol) in water, a solution of (0.24 mol) sodium cyanide in water was added slowly at room temperature under very intensive stirring. The solution became clear after 10 min, and stirring was continued for another 20 min. After this, a solution of (0.24 mol) 5-oxohexanoic (**1b**) or 6-oxoheptanoic acid (**1c**) neutralized with sodium carbonate in water was added. The mixture was stirred next for 8 h and left for a further 36 h. Then the mixture was cooled down to $0\text{ }^\circ\text{C}$ and carefully acidified with concentrated HCl, and stirred rapidly. To the acidified mixture, bromide was introduced dropwise to excess and stirred for another 1 h. The suspension was poured into ice and stirred until the ice melted. The separated crude product was dissolved in sodium carbonate solution, then precipitated with concentrated HCl, and again filtered. The fine product was dried under a vacuum to a constant weight.

*5,5'-Azobis-(5-cyanoheptanoic) acid (**2b**; $\text{C}_{14}\text{H}_{20}\text{N}_4\text{O}_4$)*

Yield 75%; m.p.: $105\text{--}107\text{ }^\circ\text{C}$; Ref. [15] m.p.: $115\text{--}117\text{ }^\circ\text{C}$; ^1H NMR (CDCl_3 , δ , 300 MHz): 8.32 (s, 2H, OH), 2.38–2.31 (m, 4H, CH_2CO), 2.18–2.13 (m, 2H, CH_2), 2.02–1.92 (m, 2H, CH_2), 1.73–1.63 (m, 2H, CH_2), 1.68 (s, 6H, CH_3), 1.53–1.49 (m, 2H, CH_2) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 178.49 (CO), 118.09 (CN), 72.86 (C(CN)), 37.40 (CH_2CO), 33.24 ($\text{CH}_2\text{C(CN)}$), 24.44 ($\text{CH}_3\text{C(CN)}$), 19.73 ($\text{CH}_2\text{CH}_2\text{CO}$) ppm; IR (CH_3CN): $\bar{\nu} = 3600\text{--}3160$, 2320, 1720, 1460, 1320 cm^{-1} .

*6,6'-Azobis-(6-cyanoheptanoic) acid (**2c**; $\text{C}_{16}\text{H}_{24}\text{N}_4\text{O}_4$)*

Yield 78%; m.p.: $105\text{--}107\text{ }^\circ\text{C}$; ^1H NMR (CDCl_3 , δ , 300 MHz): 8.80 (s, 2H, OH), 2.48–2.30 (m, 4H, CH_2CO), 2.24–2.14 (m, 2H, CH_2), 2.05–1.95 (m, 2H, CH_2), 1.76–1.66 (m, 4H, CH_2), 1.70 (s, 6H, CH_3), 1.60–1.48 (m, 2H, CH_2), 1.39–1.27 (m, 2H, CH_2) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 179.52 (CO), 118.04 (CN), 72.78 (C(CN)), 37.64 (CH_2CO), 33.64 ($\text{CH}_2\text{C(CN)}$), 24.21 ($\text{CH}_2\text{CH}_2\text{CO}$), 24.13 ($\text{CH}_3\text{C(CN)}$), 23.85 ($\text{CH}_2\text{CH}_2\text{C(CN)}$) ppm; IR (CH_3CN): $\bar{\nu} = 3600\text{--}3150$, 2320, 1710, 1440, 1310 cm^{-1} .

Preparation of acid chlorides; general procedure

A suspension of (0.03 mol) 5,5'-azobis-(5-cyanoheptanoic) acid (**2b**) or 6,6'-azobis-(6-cyanoheptanoic) (**2c**) in benzene was cooled down to $0\text{ }^\circ\text{C}$, and (0.09 mol) PCl_5 was slowly added for 15 min. Rapid stirring was continued for 2 h at $0\text{ }^\circ\text{C}$. The resulting mixture was filtered (the remaining azo acid was removed). The solution was stripped off under a vacuum using a rotary evaporator at room temperature. The resulting oil was placed in a freezer. After 12 h, the recrystallized solid was filtered and washed in a solution of 1:1 $\text{Et}_2\text{O}/\text{CH}_3\text{Cl}$ and dried in a vacuum exsiccator.

5,5'-Azobis-(5-cyanoheptanoic) acid chloride

*(**3b**; $\text{C}_{14}\text{H}_{18}\text{N}_4\text{Cl}_2\text{O}_2$)*

Yield: 51%; m.p.: $87\text{--}89\text{ }^\circ\text{C}$; Ref. [15]; m.p.: $88\text{--}89\text{ }^\circ\text{C}$; ^1H NMR (CDCl_3 , δ , 300 MHz): 3.02–2.97 (t, $J = 6.9\text{ Hz}$, 4H, CH_2CO), 2.26–2.16 (m, 2H, CH_2), 2.14–2.04 (m, 2H, CH_2), 1.98–1.84 (m, 2H, CH_2), 1.73 (s, 6H, CH_3), 1.75–1.62 (m, 2H, CH_2) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 173.00 (COCl), 117.54 (CN), 72.17 (C(CN)), 45.98 (CH_2CO), 36.56 ($\text{CH}_2\text{C(CN)}$), 24.01 ($\text{CH}_3\text{C(CN)}$), 19.83 ($\text{CH}_2\text{CH}_2\text{CO}$) ppm; IR (CH_3Cl): $\bar{\nu} = 2240$, 1730, 1580, 1380 cm^{-1} .

6,6'-azobis-(6-cyanoheptanoic) acid chloride

*(**3c**; $\text{C}_{16}\text{H}_{22}\text{N}_4\text{Cl}_2\text{O}_2$)*

Yield: 57%; m.p.: $91\text{--}93\text{ }^\circ\text{C}$; ^1H NMR (CDCl_3 , δ , 300 MHz): 2.98–2.89 (t, $J = 7.2\text{ Hz}$, 4H, CH_2CO), 2.23–2.11 (m, 2H, CH_2), 2.10–1.97 (m, 2H, CH_2), 1.83–1.64 (m, 4H, CH_2), 1.71 (s, 6H, CH_3), 1.63–1.46 (m, 2H, CH_2), 1.44–1.22 (m, 2H, CH_2) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 180.37 (COCl), 119.48 (CN), 69.74 (C(CN)), 46.59 (CH_2CO), 37.37 ($\text{CH}_2\text{C(CN)}$), 24.72 ($\text{CH}_2\text{CH}_2\text{CO}$), 23.86 ($\text{CH}_3\text{C(CN)}$), 23.22 ($\text{CH}_2\text{CH}_2\text{C(CN)}$) ppm; IR (CH_2Cl_2): $\bar{\nu} = 2260$, 1720, 1490, 1380 cm^{-1} .

Preparation of azo-peroxyesters; general procedure

The solution of hydroperoxide (0.05 mol) in CH_2Cl_2 was cooled down to less than $5\text{ }^\circ\text{C}$, and aqueous solution of sodium hydroxide (0.05 mol) was slowly added after extensive stirring so that the temperature of the mixture was below $5\text{ }^\circ\text{C}$. A suspension of sodium salt of hydroperoxide

was formed. To this mixture a solution of azo acid chloride (0.01 mol) (**3a–c**) in CH_2Cl_2 was added. The progress of the reaction was studied by means of the TLC method (mobile phase $\text{CH}_2\text{Cl}_2:\text{CH}_3\text{COCH}_3$ 9:1; a solution of sodium iodide in acetic acid was used for visualization of the separated substances). The reaction was continued for 1.5 h. The mixture was then washed twice: with water, 20% aqueous solution of NaOH, 5% aqueous solution of NaHCO_3 , and finally twice with water. The organic layer was dried with MgSO_4 , and then the solvent was evaporated on vacuum rotary of ambient temperature. All azo-peresters was crystallized from CH_2Cl_2 .

Di-tert-butyl-4,4'-azobis-(4-cyanoperoxyheptanoate)

(**4a**; $\text{C}_{20}\text{H}_{32}\text{N}_4\text{O}_6$)

Yield: 77%; m.p.: 101–104 °C Ref. [13]; m.p.: 104–106 °C; ^1H NMR (CDCl_3 , δ , 300 MHz): 2.54–2.47 (m, 4H, CH_2), 2.44–2.33 (m, 4H, CH_2), 1.71 (s, 6H, $\text{CH}_3\text{C}(\text{CN})$), 1.31 (s, 18H, $\text{C}(\text{CH}_3)_3$) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 168.89 (COO), 117.16 (CN), 83.87 ($\text{C}(\text{CH}_3)_3$), 71.76 ($\text{C}(\text{CN})$), 32.90 ($\text{CH}_2\text{C}(\text{CN})$), 26.19 ($(\text{CH}_3)_3\text{C}$), 26.12 ($\text{CH}_3\text{C}(\text{CN})$), 23.83 (CH_2CO); IR (CH_2Cl_2): $\bar{\nu}$ = 2990, 2250, 1780, 1480, 1380 cm^{-1} .

Di-tert-amyl-4,4'-azobis-(4-cyanoperoxyheptanoate)

(**5a**; $\text{C}_{22}\text{H}_{36}\text{N}_4\text{O}_6$)

Yield: 42%; m.p.: 87–89 °C; ^1H NMR (CDCl_3 , δ , 300 MHz): 2.58–2.32 (m, 8H, CH_2), 1.74 (s, 6H, CH_3CCN), 1.69–1.59 (m, 4H, CH_2CH_3), 1.27 (s, 12H, $(\text{CH}_3)_2\text{C}$), 0.95–0.90 (t, J = 7.5 Hz, 6H, CH_3CH_2) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 168.87 (COO), 117.15 (CN), 86.20 ($\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 71.74 ($\text{C}(\text{CN})$), 32.92 (CH_2CH_3), 31.37 ($(\text{CH}_2)\text{CCN}$), 26.17 (CH_2CO), 23.73 ($(\text{CH}_3)\text{CCN}$), 23.42 ($\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 8.16 (CH_2CH_3) ppm; IR (CH_2Cl_2): $\bar{\nu}$ = 2985, 2240, 1760, 1460, 1370 cm^{-1} .

Di-tert-butyl-5,5'-azobis-(5-cyanoperoxyhexanoate)

(**4b**; $\text{C}_{22}\text{H}_{36}\text{N}_4\text{O}_6$)

Yield: 78%; m.p.: 102–104 °C; ^1H NMR (CDCl_3 , δ , 300 MHz): 2.43–2.38 (m, 4H, CH_2), 2.25–2.04 (m, 4H, CH_2), 1.95–1.77 (m, 2H, CH_2), 1.72 (s, 6H, CH_3), 1.69–1.60 (m, 2H, CH_2), 1.32 (s, 18H, $(\text{CH}_3)_3\text{C}$) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 169.86 (COO), 117.76 (CN), 83.53 ($\text{C}(\text{CH}_3)_3$), 72.23 ($\text{C}(\text{CN})$), 37.17 ($\text{CH}_2\text{C}(\text{CN})$), 30.37 (CH_2CO), 26.07 ($(\text{CH}_3)_3\text{C}$), 23.98 ($\text{CH}_3(\text{C})\text{CN}$), 19.57 ($\text{CH}_2\text{CH}_2\text{CH}_2$) ppm; IR (CH_2Cl_2): $\bar{\nu}$ = 2980, 2250, 1775, 1460, 1360 cm^{-1} .

Di-tert-amyl-5,5'-azobis-(5-cyanoperoxyhexanoate)

(**5b**; $\text{C}_{24}\text{H}_{40}\text{N}_4\text{O}_6$)

Yield: 69%; m.p.: 76–78 °C; ^1H NMR (CDCl_3 , δ , 300 MHz): 2.36–2.32 (t, J = 7.05 Hz, 4H, CH_2CH_3), 2.18–1.97 (m, 4H, CH_2), 1.86–1.72 (m, 2H, CH_2), 1.65 (s, 6H, CH_3), 1.62–1.49 (m, 6H, CH_2), 1.19 (s, 12H, $\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 0.88–0.83 (t, J = 7.5 Hz, 6H, CH_3CH_2)

ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 169.70 (COO), 117.54 (CN), 85.61 ($\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 72.00 ($\text{C}(\text{CN})$), 36.89 (CH_2CH_3), 31.13 ($\text{CH}_2\text{C}(\text{CN})$), 25.80 (CH_2CO), 23.44 ($\text{CH}_3\text{C}(\text{CN})$), 23.15 ($\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 19.34 ($\text{CH}_2\text{CH}_2\text{CH}_2$), 7.95 (CH_2CH_3) ppm; IR (CH_2Cl_2): $\bar{\nu}$ = 2985, 2240, 1765, 1455, 1380 cm^{-1} .

Di-tert-butyl-6,6'-azobis-(6-cyanoperoxyheptanoate)

(**4c**; $\text{C}_{24}\text{H}_{40}\text{N}_4\text{O}_6$)

Yield: 79%; m.p.: 107–109 °C; ^1H NMR (CDCl_3 , δ , 300 MHz): 2.37–2.32 (m, 4H, CH_2), 2.20–1.97 (m, 4H, CH_2), 1.79–1.66 (m, 4H, CH_2), 1.69 (s, 6H, CH_3), 1.61–1.36 (m, 4H, CH_2), 1.32 (s, 18H, $\text{C}(\text{CH}_3)_3$) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 170.36 (COO), 118.00 (CN), 83.38 ($\text{C}(\text{CH}_3)_3$), 72.45 ($\text{C}(\text{CN})$), 37.68 ($\text{CH}_2\text{C}(\text{CN})$), 30.74 (CH_2CO), 26.09 ($(\text{CH}_3)_3\text{C}$), 24.40 (CH_2), 24.04 ($\text{CH}_3(\text{C})\text{CN}$), 23.71 (CH_2) ppm; IR (CH_2Cl_2): $\bar{\nu}$ = 2980, 2250, 1775, 1460, 1360 cm^{-1} .

Di-tert-amyl-6,6'-azobis-(6-cyanoperoxyheptanoate)

(**5c**; $\text{C}_{26}\text{H}_{44}\text{N}_4\text{O}_6$)

Yield: 76%; m.p.: 87–89 °C; ^1H NMR (CDCl_3 , δ , 300 MHz): 2.29–2.24 (t, J = 7.35 Hz, 4H, CH_2CH_3), 2.14–1.92 (m, 4H, CH_2), 1.72–1.39 (m, 4H, CH_2), 1.63 (s, 12H, $\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 1.38–1.15 (m, 8H, CH_2), 1.19 (s, 6H, CH_3), 0.88–0.83 (t, J = 7.65 Hz, 6H, CH_3CH_2) ppm; ^{13}C NMR (CDCl_3 , δ , 75 MHz): 170.33 (COO), 118.01 (CN), 85.72 ($\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 72.46 ($\text{C}(\text{CN})$), 37.69 (CH_2CH_3), 31.40 ($\text{CH}_2\text{C}(\text{CN})$), 30.78 (CH_2CO), 26.09 ($\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CO}$), 24.40 ($\text{C}(\text{CH}_3)_2\text{C}_2\text{H}_5$), 24.04 ($\text{CH}_3\text{C}(\text{CN})$), 23.70 ($\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CO}$), 8.21 (CH_2CH_3) ppm; IR (CH_2Cl_2): $\bar{\nu}$ = 3020, 2280, 1775, 1450, 1380 cm^{-1} .

Acknowledgments The authors express their gratitude to the Ministry of Science and Higher Education (Grant No. N N205 4006 33) for financial support.

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